

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Method and Apparatus for Capturing and Rendering Text Annotations for Non-Modifiable Electronic Content						
Application Number :	09/455807 						
Confirmation Number:	5593						
First Named Applicant:	John Beezer						
Attorney Docket Number:	003797.84618						
Art Unit:	2174						
Examiner:	Steven Sax						
Search string:	(6320577 or 6573907 or 6687878 or 6871318 or 5390138 or 6636238 or 6055538 or 5801685 or 5644674 or 6144375 or 6418421 or 20020194260).pn						
Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:							
That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.							
US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
✓	1	6320577	2001-11-20	Alexander			
✓	2	6573907	2003-06-03	Madrane			
✓	3	6687878	2004-02-03	Einracht et al.			
✓	4	6871318	2005-03-22	Wynblatt et al.			
✓	5	5390138	1995-02-14	Milne et al.			
✓	6	6636238	2003-10-21	Amir et al.			
✓	7	6055538	2000-04-25	Kessenich et al.			
✓	8	5801685	1998-09-01	Miller et al.			
✓	9	5644674	1997-07-01	Aihara et al.			
✓	10	6144375	2000-11-07	Jain et al.			
✓	11	6418421	2002-07-09	Hurtado et al.			
US Published Applications							

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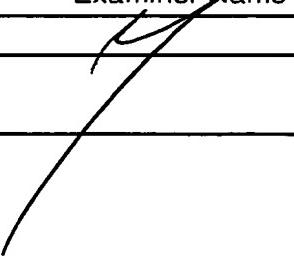
init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
1	20020194260	2002-12-19	Headley et al.				

Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed document(s) should it be applied as a reference(s) against the claims of the present application. It is respectfully requested that the Examiner fully consider the document(s), initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document(s) has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Accordingly, a single fee of \$180 is believed to be due pursuant to 37 CFR 1.97(d) and 1.17(p) for consideration of this reference(s). The Office is authorized to charge Deposit Account No. 19-0733 for this fee. If there are any discrepancies regarding this fee, please charge or credit Deposit Account No. 19-0733.

Signature

Examiner Name	Date
	7/05

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6418421

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Hurtado et al.

US Published Applications

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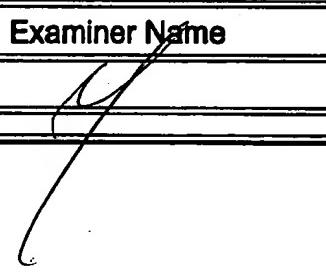
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8	6211871	2001-04-03	Himmel et al.
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10	6484156	2002-11-19	Gupta et al.
11	6529920	2003-03-04	Arons et al.
12	6571211	2003-05-27	Dwyer et al.
13	6760884	2004-07-06	Vertelney et al.

US Published Applications

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✓	1	20010031128	2001-10-18	Manbeck			

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Signature

Examiner Name	Date
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7/00

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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JUN 29 2005

Ebook/Active Reading: Test Annotations

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	1	5680636	1997-10-21	Levine et al.						
	2	5727129	1998-03-10	Barrett et al.						
	3	5838914	1998-11-17	Carleton et al.						
	4	5893132	1999-04-06	Huffman et al.						
	5	6049812	2000-04-11	Bertram et al.						
	6	6195694	2001-02-27	Chen et al.						
	7	6199082	2001-03-06	Ferrel et al.						
	8	6486895	2002-11-26	Robertson et al.						
	9	6549220	2003-04-15	Hsu et al.						
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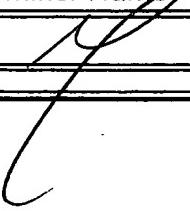
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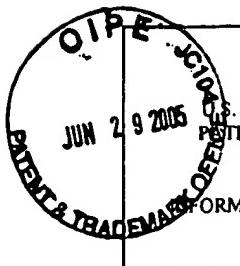
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PTO-1449 (Modified)

U. S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

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BY APPLICANT~~

ATTY. DOCKET NO.
003797.84618

SERIAL NUMBER
09/455.807

APPLICANT
John L Beezer et al.

FILING DATE

December 7, 1999

GROUP ART UNIT

2174

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	H	DATE CONSIDERED	7/05
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 3

Complete If Known

Application Number	09/455,807
Filing Date	12/07/1999
First Named Inventor	John L. Beezer et al.
Group Art Unit	2174
Examiner Name	S.P. Sax
Attorney Docket Number	003797.84618

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (If Known)			
		US 5,239,466	08/24/1993	Morgan et al.	
		US 5,372,643	11/05/1996	Judson	
		US 5,632,022	05/20/1997	Warren et al.	
		US 5,729,687	03/17/1998	Rothrock et al.	
		US 6,580,621	05/06/2003	Barile	
		US 6,490,603 B1	12/03/2002	Keenan et al.	
		US 6,448,110 B1	09/03/2002	Lectton et al.	
		US 6,535,294 B1	03/18/2003	Arlidge, Jr. et al.	
		US 6,539,370 B1	03/25/2003	Chang et al.	
		US 6,301,580 B1	10/09/2001	Slow et al.	
		US 6,205,455 B1	03/20/2001	Umen et al.	
		US 6,393,422 B1	05/21/2002	Wone	
		US 6,052,514	04/18/2000	Gill et al.	
		US 6,122,649	09/19/2000	Kanerva et al.	
		US 6,271,840 B1	08/07/2001	Finseth et al.	
		US 5,877,757	03/02/1999	Baldwin et al.	
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		US 6,321,244 B1	11/20/2001	Liu et al.	
		US 6,405,221 B1	06/11/2002	Levine et al.	
		US 6,931,912	08/03/1999	Wu et al.	
		US 6,801,687	09/01/1998	Peterson et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)				
		WO 96/20908	07/11/1996	Mikitenco et al.		

Examiner
SignatureDate
Considered

7/05

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to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449APTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 3

Complete If Known	
Application Number	09/455,807
Filing Date	12/07/1999
First Named Inventor	John L. Beezer et al.
Group Art Unit	2174
Examiner Name	S.P. Sax
Attorney Docket Number	003797.84618

U.S. PATENT DOCUMENTS

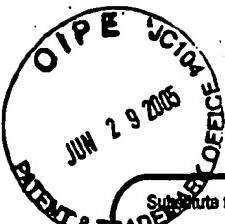
FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	7/05
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/088 (10-01)

Approved for use through 10/31/2002, OMB 0851-0031

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3

Complete if Known	
ation Number	09/455,807
Date	12/07/1999
Named Inventor	John L. Beezer et al.
Art Unit	2174
Iner Name	S.P. Sax
ey Docket Number	003797-84618

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>N</i>		'Adobe Acrobat 3.0 Reader Online Guide', Adobe Systems, Inc., pages 1-110	
<i>V</i>		Open eBook Forum, Open eBook™ Publication Structure 1.0, http://www.ebxml.org/oebps/oebps1.0/download/oeb1-oebps.htm	
<i>V</i>		KRISTENSEN, A., "Formsheets and the XML Forms Language" (March 1999)	

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of 1

Attorney Docket Number

Complete if Known

Application Number	09/455,807
Filing Date	December 7, 1999
First Named Inventor	John L. Beezer, et al.
Group Art Unit	2174
Examiner Name	S.P. Sax
Attorney Docket Number	003797 84618

Attorney Docket Number 003797 84618

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

Date Considered

7/05

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